BEFORE THE DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

| In the Matter of the Accusation Against: |) | |
|---|--------------|-----------------|
| BALDOMERO DELEON, JR., M.D. Certificate No. G-35749 |))) | No. 12-91-10485 |
| Respondent. |)) _) | |

DECISION

The attached Stipulation and Waiver is hereby adopted by the Division of Medical Quality as its Decision in the above-entitled matter.

This Decision shall become effective on April 29, 1995

IT IS OR ORDERED March 30, 1995.

By:

IRA LUBELL, M.D.

Chair

Division of Medical Quality

| 1 | DANIEL E. LUNGREN, Attorney General | | | | |
|--|--|--|--|--|--|
| 2 | of the State of California VIVIEN H. HERSH | | | | |
| 3 | Supervising Deputy Attorney General RONALD V. THUNEN, JR., State Bar No. 041145 | | | | |
| 4 | Deputy Attorney General 455 Golden Gate Avenue, Rm. 6200 | | | | |
| 5 | San Francisco, California 94102 Telephone: (415) 703-2831 Facsimile: (415) 703-2592 | | | | |
| 6 | Attorneys for Complainant | | | | |
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| 8 | DESCRIPTION OF MEDICAL OWN THE | | | | |
| 9 | BEFORE THE DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA | | | | |
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| 12 | In the Matter of the Accusation) Case No. D-5546 | | | | |
| 13 | Against:) OAH No. N 9407061 | | | | |
| 14 | Baldomero DeLeon, Jr., M.D.) 1479 Ygnacio Valley Rd.) STIPULATION AND WAIVER Walnut Graph GD 24500 | | | | |
| 15 | Walnut Creek, CA 94598) Date: Jan. 13, 1995 | | | | |
| 16 | License No. G35749) Time: 1:30 p.m. | | | | |
| TO |) | | | | |
| 17 | Respondent. | | | | |
| | Respondent.) IT IS HEREBY STIPULATED AND AGREED by and between the | | | | |
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| 17 18 | IT IS HEREBY STIPULATED AND AGREED by and between the | | | | |
| 17 18 19 | IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-entitled matter as follows: | | | | |
| 17 18 19 20 | IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-entitled matter as follows: 1. At the time of executing and filing the Accusation | | | | |
| 17 18 19 20 21 | IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-entitled matter as follows: 1. At the time of executing and filing the Accusation in the above matter, complainant, Dixon Arnett, was the Executive | | | | |
| 17 18 19 20 21 22 | IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-entitled matter as follows: 1. At the time of executing and filing the Accusation in the above matter, complainant, Dixon Arnett, was the Executive Director of the Medical Board of California, Department of | | | | |
| 17 18 19 20 21 22 23 | IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-entitled matter as follows: 1. At the time of executing and filing the Accusation in the above matter, complainant, Dixon Arnett, was the Executive Director of the Medical Board of California, Department of Consumer Affairs, State of California (hereinafter "Board"), and | | | | |
| 17 18 19 20 21 22 23 24 | IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-entitled matter as follows: 1. At the time of executing and filing the Accusation in the above matter, complainant, Dixon Arnett, was the Executive Director of the Medical Board of California, Department of Consumer Affairs, State of California (hereinafter "Board"), and performed said acts solely in his official capacity as such. | | | | |

- 3. Baldomero, DeLeon, M.D. (hereinafter "respondent"), is representing himself in this matter.

 Respondent has read this Stipulation, and he represents that he fully understands the provisions contained in this Stipulation and their effect.
- 4. Respondent has received and read the Accusation which is presently on file and pending in Case No. D-5546 before the Medical Board of California. A true and accurate copy of said Accusation No. D-5546 is attached hereto as Exhibit A.
- 5. Respondent understands the nature of the charges alleged in the above-mentioned Accusation and that said charges and allegations would constitute cause for imposing discipline upon the respondent's physician's and surgeon's certificate, heretofore issued by the Board.
- him by his own counsel each of respondent's rights, including the right to a hearing on the charges and allegations; respondent's right to confront and cross-examine witnesses who would testify against him; respondent's right to present evidence in his favor or to call witnesses in his behalf, or to so testify himself; respondent's right to contest the charges and allegations and any other rights which may be accorded to him pursuant to the California Administrative Procedure Act, Government Code section 11500 et seq.; his right to reconsideration, to appeal to Superior Court by way of writ of mandate, and to any other or further appeal. Respondent understands that in signing this Stipulation, he voluntarily waives his right to hearing, to

reconsideration, to appeal, and to any and all other rights which may be accorded to him by the California Administrative Procedure Act and the Code of Civil Procedure, except those rights to petition for reinstatement or reduction of penalty as set forth in Business and Professions Code section 2307.

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- 7. All admissions of fact or conclusions of law set forth in this Stipulation are made exclusively for this proceeding and any future proceeding between the Board and the respondent, and shall not be deemed to be admissions for any purpose in any other administrative, civil, or criminal action, forum or proceeding.
- Respondent stipulates and agrees that there is a factual basis to the charges against him and that there are grounds for disciplinary action against him, pursuant to Business and Professions Code section 2234. Respondent specifically acknowledges that, in his treatment of patient L.C. at the time of her admission to a skilled nursing facility in September 1983 until she ceased to be his patient in January 1991, he repeatedly failed to visit the patient in the skilled nursing facility within 30 days of the previous visit. Specifically, respondent admits that Exhibit 1 to the Accusation is an accurate recapitulation of his visits to see the patient during her time at the convalescent facility. Respondent further admits that while the standard of care may require more frequent visits to check on the condition of a patient whose physical and mental condition is such that she is unable to care for herself, the 30 day patient visit interval prescribed by 22 CCR § 72307(a)

- 9. In mitigation, respondent submits that he had requested that the patient's conservator engage the services of another physician to replace respondent.
- 10. Respondent acknowledges, however, that unless and until a treating physician is replaced, the treating physician has a continuing responsibility to treat the patient in accordance with the standard of care.
- 11. Based upon all the foregoing admissions, stipulations and recitals, it is stipulated and agreed that the Board may issue a decision upon this stipulation whereby:
- (1) License No. G35749 issued to respondent
 Baldomero DeLeon, M.D. is suspended for a period of one year.
 However, suspension is stayed and respondent is placed on
 probation for four years upon the following terms and conditions:
- decision, and on an annual basis thereafter, respondent shall submit to the Board for its prior approval an educational program or course to be designated by the Board, which shall not be less than 40 hours per year, for each year of probation. This program shall be in addition to the normal continuing medical education requirements for relicensure. Following the completion of each course, the Board or its designee may administer an examination to test respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of continuing medical

education of which 40 hours were in satisfaction of this condition and were approved in advance by the Board.

- (3) Within 60 days of the effective date of this decision, respondent shall submit to the Board for its prior approval a course in ethics, which respondent shall successfully complete during the first year of probation.
- (4) Within 30 days of the effective date of this decision, respondent shall submit to the Board for its prior a plan of practice in which respondent's practice shall be monitored by another physician in respondent's field of practice, who shall provide periodic reports to the Board.

If the monitor resigns or is no longer available, respondent shall, within 15 days, move to have a new monitor appointed, through nomination by respondent and approval by the Board. The monitoring program will be limited to a review of respondent's records with respect to the care of patients who have been admitted to a skilled nursing facility.

- education requirements set forth in paragraph 2 above and the monitoring requirements set forth in paragraph 4 above will be terminated after two years if respondent has successfully completed all aspects of his probation during that time; however, if respondent's performance during the first two years of probation is deficient in any respect, these requirements shall be extended at the discretion of the Board.
- (6) Respondent shall pay to the Board the sum of \$1,250.00 as the Board's reasonable costs of investigation and

enforcement in this matter.

- (7) Respondent shall obey all federal, state and local laws and all rules governing the practice of medicine in California.
- (8) Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.
- (9) Respondent shall comply with the Board's probation surveillance program.
- (10) Respondent shall appear in person for interviews with the Board's medical consultant upon request at various intervals and with reasonable notice.
- (11) The period of probation shall not run during the time respondent is residing or practicing outside of the jurisdiction of California. If during probation respondent moves out of California to reside or practice elsewhere, respondent is required to immediately notify the Board in writing of the date of departure, and the date of return, if any.
- (12) Upon successful completion of probation, respondent's certificate will be fully restored.
- (13) If respondent violates probation in any respect, the Board after giving respondent notice and the opportunity to be heard may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against the respondent during probation, the Board shall have continuing jurisdiction until the

matter is final and the period of probation shall be extended 1 2 until the matter is final. 3 This Stipulation is subject to approval of the 4 If the Board fails to approve this Stipulation, it shall 5 be of no force or effect for either party. DATED: 8 FEBRUARY 1997 6 7 DANIEL E. LUNGREN Attorney General 8 9 RONALD V. THUNEN, JR. 10 Deputy Attorney General 11 Attorneys for Complainant 12 13 I hereby certify that I have read the Stipulation for Settlement in its entirety, that I fully understand the legal 14 significance and consequences thereof, that I fully understand 15 the terms of this Stipulation for Settlement, that it will result 16 17 in disciplinary action being imposed on my license to practice medicine, that I voluntarily agree to the terms of this 18 Stipulation for Settlement, and in agreement thereto, I affix my 19 signature this 1th day of Fehruary, 1995, at 20 ___, California. 21 22 23 Red Cent n 24 25

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| 1 2 3 4 5 | DANIEL E. LUNGREN, Attorney General of the State of California VIVIEN H. HERSH Supervising Deputy Attorney General RONALD V. THUNEN, JR. Deputy Attorney General 455 Golden Gate Avenue, Rm. 6200 San Francisco, California 94102 Telephone: (415) 703-2831 | | | | |
|-----------------------|---|--|--|--|--|
| 6 | Attorneys for Complainant | | | | |
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| . 8 | BEFORE THE | | | | |
| 9 | MEDICAL BOARD OF CALIFORNIA DIVISION OF MEDICAL QUALITY STATE OF CALIFORNIA | | | | |
| 10 | | | | | |
| 11 | In the Matter of the Accusation) No.D-5546 | | | | |
| 12 | Against:) ACCUSATION | | | | |
| 13 | Baldomero DeLeon, Jr., M.D.) 1479 Ygnacio Valley Rd.) | | | | |
| 1,4 | Suite 201 Walnut Creek, CA 94598) | | | | |
| 15 | License No. G35749 | | | | |
| 16 | Respondent.) | | | | |
| 17 | | | | | |
| 18 | DIXON ARNETT, complainant herein, charges and alleges | | | | |
| 19 | as follows: | | | | |
| 20 | 1. He is the Executive Director of the Medical Board | | | | |
| 21 | of California (hereafter "Board"), and makes these charges and | | | | |
| 22 | allegations solely in his official capacity. | | | | |
| 23 | 2. At all times material herein, respondent, Baldomero | | | | |
| 24 | DeLeon, Jr., M.D., has held Physicians and Surgeons License No. | | | | |
| 25 | G35749, issued by the Board on or about October 31, 1977. The | | | | |
| 26 | license is paid and current through till February 28, 1994. | | | | |
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STATUTES

- 3. Section 2220 of the Business and Professions Code Provides that the Division of Medical Quality of the Board (hereinafter "the Division") may take action against all persons guilty of violating the provisions of the Medical Practice Act (Business and Professions Code § 2000 et seq.).
- 4. Section 2234 provides in part that the division shall take action against any license charged with unprofessional conduct. Unprofessional conduct is defined to include:
 - (b) Gross negligence;
 - (c) Repeated negligent acts; and
 - (d) Incompetence.

FIRST CAUSE FOR DISCIPLINE

- 5. Sometime in March 1983, respondent first saw and treated patient L.C. At that time, she was 77 years old and suffering from organic brain syndrome. He saw her in his office again in April and July, 1983. In July of that year, she was placed in a board and care facility. However, due to her deteriorating mental condition, it was necessary to transfer the patient to a skilled nursing facility in September 1983. The patient's last office visit to respondent was in September 1983.
- 6. Although respondent complained about the distance (claimed by the respondent to be 15 miles) from his office to the facility where this patient was housed, he commenced a program of visits to the skilled nursing facility to see and treat this

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1. All statutory references are to the Business and Professions Code unless otherwise indicated.

patient which continued for more than seven years.

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7. Section 72307(A) of Title 22 of the California Code of Regulations requires that each patient who is admitted to a skilled nursing facility shall be under the continuing supervision of a physician. This regulation further defines "continuing supervision" as to require a physician visit and evaluation at least every 30 days unless the physician has submitted, and received approval for, a plan for a lesser frequency of visits; in no case, however, can the interval between visits extend to longer than 60 days.

- 8. The above regulation does not supersede the standard of care for a physician who undertakes responsibility for the supervision of an in-patient in a skilled nursing facility; rather, it establishes a minimum level of care for a patient whose mental and physical condition are such that minimal deterioration is likely to occur. The standard of care, however, is dictated by the needs of the individual patient; in other words, the standard of care may require a higher frequency of visits than that prescribed by regulation, but it cannot require a frequency lower than that established by regulation.
- 9. Throughout the period of the patient's hospitalization, until respondent was relieved in January of 1991, respondent knew and understood that he was responsible for the supervision of the care rendered to this patient and also knew (or should have known) that this responsibility included a patient visit at least once every 30 days, unless a lesser * frequency had been expressly approved.

Exhibit 1 to this accusation, appended hereto and 10. incorporated herein by reference, is a tabulation of all known visits by respondent to see patient L.C. during the period from September 1983 through January 1991. Respondent was relieved of responsibility for this patient on or about January 22, 1991. Exhibit 1 demonstrates that respondent repeatedly failed to meet the minimal 30 day visit interval prescribed by regulation, notwithstanding the fact that respondent was regularly notified of this delinquency. Respondent was notified of this delinquency at least 25 times during this seven year period. During the two year period from January 1, 1989 through December 31, 1990, respondent visited this patient only nine times, when he should have seen her at least 24 times. During this same period, he was notified by the skilled nursing facility 11 times that he was delinquent in his frequency of visits to this patient. Such a delinquency, whether or not respondent was notified of it, constitutes a separate act of negligence within the meaning of Business and Professions Code section 2234(c). Accordingly, taken as a whole, respondent's pattern of delinquency with respect to this patient constitutes cause for disciplinary action, pursuant to Business and Professions Code section 2234(c).

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SECOND CAUSE FOR DISCIPLINE

11. The last time respondent actually saw this patient was August 27, 1990 even though he remained responsible for the supervision of this patient for more than four and a half months thereafter. During this period, respondent was made aware of

changes in the patient's condition which would have dictated the necessity of a patient visit from the above-described general and minimum requirement of one visit each 30 days. When the patient was evaluated by another physician on or about January 22, 1991, she was found to be suffering from severe dehydration, severe hypernatremia, anaerobic sepsis, malnutrition, and a 4x5 cm sacral decubitus ulcer. That respondent had at least some awareness of these changes in his patient's condition and still did not visit the patient during this time period, constitutes cause for disciplinary action pursuant to Business and Professions Code section 2234(b), 2234(c), and 2234(d).

THIRD CAUSE FOR DISCIPLINE

Moreover, given the fact that respondent was aware of some of the problems which his patient was experiencing during this four and a half month period, it was incumbent upon respondent to make further inquiry of the skilled nursing facility staff or to conduct a personal examination of his patient in order to ascertain the full extent of his patient's problems at this time. To the extent that respondent was not fully aware of all of the changes experienced by his patient during this period, the failure to inform himself of these facts, given the information which he did possess at that time, constitutes a separate and several cause for disciplinary action, pursuant to Business and Professions Code sections 2234(b), 2234(c), and 2234(d).

WHEREFORE, complainant prays that the Board hold a +, hearing on the charges and allegations set forth herein, and

thereafter issue an order suspending or revoking respondent's physician and surgeon's license number G35749, and take such other action as it may deem proper.

DATED: -/2/33

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DIXON ARNETT
Executive Director
Medical Board of California
State of California

Complainant

BALDOMERO DeLEON, JR., M.D. - EXHIBIT 1

| Dates of DeLeon Visits to L.C. | Interval (Days) | SNF Delinquency Notification |
|--|--|------------------------------|
| 09/09/83 09/12/83 10/17/83 01/16/84 03/05/84 05/29/84 | 3 35 91 47 85 | |
| 08/01/84 09/10/84 10/07/84 11/19/84 12/24/84 01/07/85 | 57 40 27 43 (Patient not in facility) 42 | 07/16/84 |
| 03/04/85 | 28 26 | 02/25/85 |
| 04/29/85 05/29/85 | 28 30 | 04/24/85 |
| 07/01/85 07/29/85 08/26/85 | 32 28 28 | 07/01/85 |
| 09/30/85 | 35 | 09/29/85 |
| 11/18/85 12/23/85 01/27/86 02/24/86 03/31/86 04/21/86 06/02/86 06/30/86 07/28/86 08/18/86 09/29/86 10/28/86 01/05/87 | 49 35 35 28 36 21 42 28 28 21 42 29 69 | 11/04/85 |
| 04/13/87 | 98 | 02/26/87 |
| 05/11/87 06/19/87 | 28 39 | 07/29/87 |
| 08/04/87 | 46 | 09/24/87 |
| | | |

(CONTINUED)

| Dates of De Leon | | |
|----------------------------|---------------|-----------------|
| Visits | Interval | SNF Delinquency |
| to L.C. | <u>(Days)</u> | Notification |
| | | |
| 10/05/87 | 61 | |
| | | 01/13/88 |
| 01/00/00 | | 01/25/88 |
| 01/28/88 | 108 | |
| 03/07/88 04/19/88 | 38 | |
| 04/19/88 | 4.3 | 05 (07 (00 |
| 06/20/88 | 53 | 05/27/88 |
| 08/08/88 | 56 | • |
| 09/27/88 | 36 | |
| 01/09/89 | 105 | |
| 03/20/89 | 70 | |
| | | 05/04/89 |
| 05/30/89 | 71 | 52, 51, 63 |
| | | 07/06/89 |
| 07/10/89 | 41 | |
| | | 09/30/89 |
| | | 11/21/89 |
| 12/18/89 | 161 | |
| 02/05/90 | 49 | |
| | | 04/11/90 |
| | | 04/24/90 |
| | | 04/27/90 |
| 07/09/90 | 154 | 06/18/90 |
| 07709790 | 154 | 00/24/00 |
| 08/27/90 | 49 | 08/24/90 |
| 00,27750 | 43 | 09/27/90 |
| · | | 12/26/90 |
| | • | 14/20/90 |

NOTE: With the exceptions of 9/29/85, 11/04/85, 7/29/87, 9/27/90 and 12/26/90, all contacts were by phone.